



08-12-05

APC / IJEW
S/ 1731

PATENT

Customer No. 22,852

Attorney Docket No. 9140.0014-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
DEMARAY, Richard et al.) Group Art Unit: 1731
Application No.: 09/903,081) Examiner: HOFFMAN, John M.
Filed: July 10, 2001)
For: AS-DEPOSITED PLANAR OPTICAL) Confirmation No.: 1225
WAVEGUIDES WITH LOW)
SCATTERING LOSS AND)
METHODS FOR THEIR)
MANUFACTURE)

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(d)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after a Final Action but before a Notice of Allowance. The Commissioner is hereby authorized to charge the fee of \$180.00 as specified under § 1.17(p) to Deposit Account No. 06-0916..

Copies of the listed foreign and non-patent literature documents are attached. Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: August 10, 2005

By:



Gary J. Edwards
Reg. No. 41,008

EXPRESS MAIL LABEL NO. EV 727733600 US

O R E S OIS Form PTO/SB/08: Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
AUG 10 2005 PATENT OFFICE Sheet 1 of 1	1		of	7	Application Number 09/903,081 Filing Date July 10, 2001 First Named Inventor DEMARAY, Richard Art Unit 1731 Examiner Name HOFFMAN, John M. Attorney Docket Number 9140.0014-00000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US 2005/0006768 A1	01-13-2005	Narasimhan et al.	
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Examiner Signature		Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

IDS Form PTO/SB/08: Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				<i>Filing Date</i>	July 10, 2001
				<i>First Named Inventor</i>	DEMARAY, Richard
				<i>Art Unit</i>	1731
				<i>Examiner Name</i>	HOFFMAN, John M.
Sheet	2	of	7	<i>Attorney Docket Number</i>	9140.0014-00000

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Sheet	3	of	7	<i>Attorney Docket Number</i>	9140.0014-00000

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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

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Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		EP 1 189 080 A2	03/20/2002	Agere Systems Optoelectronics Guardian Corporation		
		EP 1 092 689 A1	04-18-2001	BPS Alzenau GmbH		
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Sheet	4	of	7	Attorney Docket Number	9140.0014-00000

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Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			Translation ⁶
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Sheet	6	of	7	<i>Attorney Docket Number</i>	9140.0014-00000

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		Office Action issued on September 27, 2004 in U.S. Serial No. 10/291,179 (Attorney Docket No. 09140-0001-00).			
		Response to Office Action filed on March 14, 2005 in U.S. Serial No. 10/291,179 (Attorney Docket No. 09140-0001-00).			
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Sheet	7	of	7	<i>Attorney Docket Number</i>	9140.0014-00000

NON PATENT LITERATURE DOCUMENTS		
		Office Action issued on February 24, 2004 in U.S. Serial No. 10/101,863 (Attorney Docket No. 09140-0016-00).
		Response to Office Action filed on July 23, 2004 in U.S. Serial No. 10/101,863 (Attorney Docket No. 09140-0016-00).
		Office Action issued on October 6, 2004 in U.S. Serial No. 10/101,863 (Attorney Docket No. 09140-0016-00).
		Office Action dated January 13, 2005, received in Application No. 10/101,863 (Attorney Docket No. 09140.0016-00).
		Response to office Action filed on June 10, 2005 in U.S. Serial No. 10/101,863 (Attorney Docket No. 09140.0016-00).
		Office Action dated March 25, 2005, received in Application No. 10/954,182 (Attorney Docket No. 09140.0016-01).
		Office Action issued on October 22, 2003 in U.S. Serial No. 10/101,341 (Attorney Docket No. 09140-0017-00).
		Response to Office Action filed on February 23, 2004 in U.S. Serial No. 10/101,341 (Attorney Docket No. 09140-0017-00).
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